

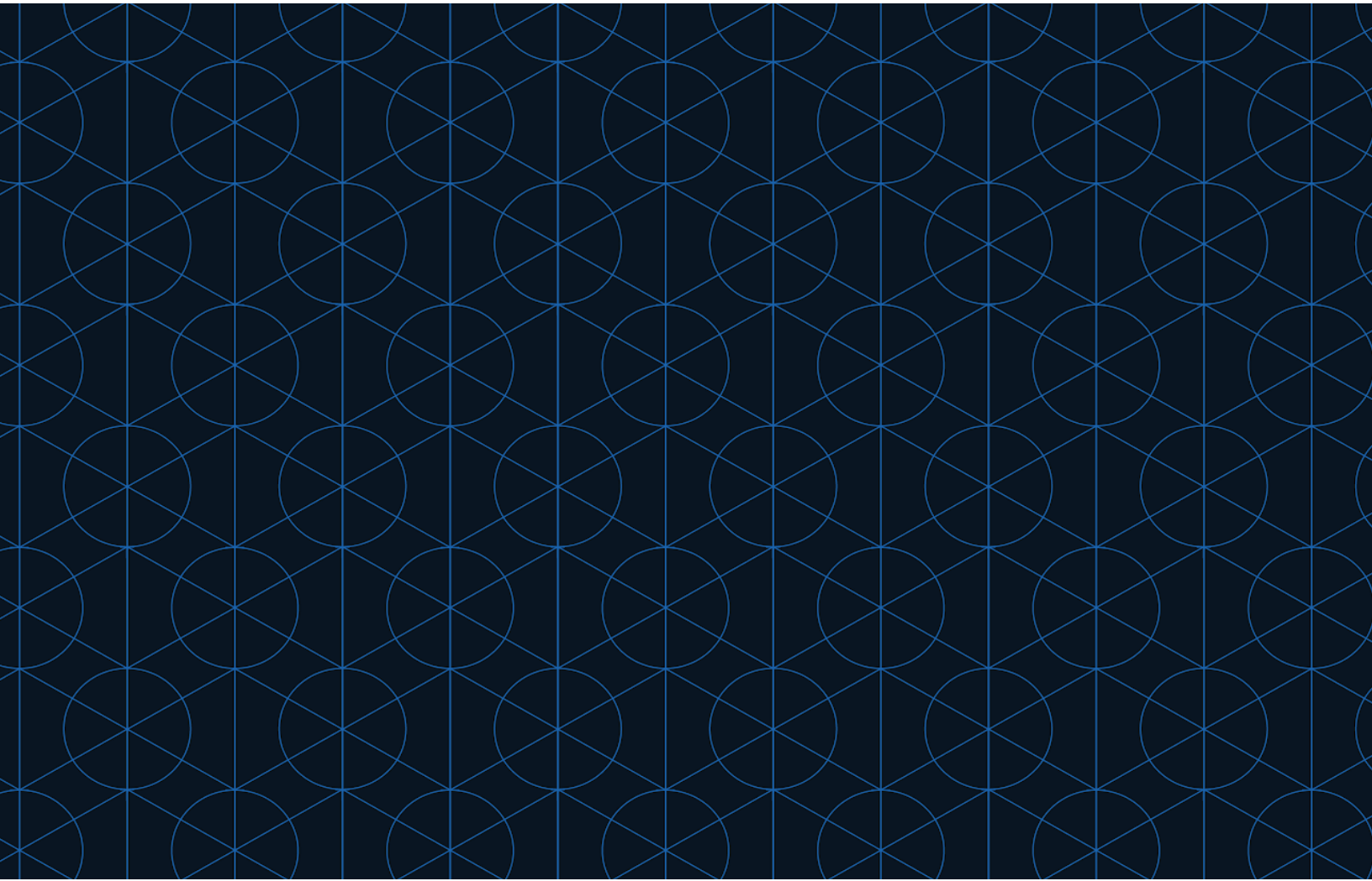


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DATA PRIVACY POLICY

Effective 10th of September 2020

V3





Version history

Version	Date	Description
v2.0	Oct 2019	Publication of final version
v2.1	May 2020	<ol style="list-style-type: none">1. Removed “about you” from section 4 heading2. Text update to clarify the as-needed access for use case where data is provided for the EFI platform3. Update to section 9 regarding the available list of sub-processors engaged4. Update to link in section 11 from www.elucidate.co/dataprotection to https://elucidate.co/privacy-policy5. Updated text in section 11 to advise policy readers of the grounds for publication of changes
v2.2	June 2020	Added Berlin authority https://www.datenschutz-berlin.de and updated text for international transfers of data
v3.0	September 2020	<ol style="list-style-type: none">1. Change of layout and added the following sections: what data we collect and how, why we collect data, how we handle data, cookies and data protection rights.2. “Informational Recipients” sections was edited renamed and to “how we handle data”3. BMR data retention period information added to the section “meeting our legal and regulatory obligations”4. Added information about Elucidates role as data controller and processor on the section “who we are”



1. Who we are

Elucidate GmbH, located in Berlin, Germany, registered in: Amtsgericht Charlottenburg HRB 196707B, is the controller and responsible for your personal data (later referred throughout this document as “Elucidate”, “we”, “us” or “our”).

In some instances, Elucidate will take the role of data processor and in others, of data controller. Both roles will be carried out in pursuant of GDPR and EU-Regulations, where different obligations will apply according to each role.

We collect and process personal data relating to visitors to efi.elucidate.co and elucidate.co in relation to the services we provide. The data we process differs depending on the different interactions with us, as detailed below.

2. Scope and purpose

This privacy policy sets out to give you information on:

- How we collect your data
- How data is processed throughout the determination of our benchmarks
- How we keep data safe and for how long
- Who has access to your data
- Your data rights
- Cookies information
- Our legal and obligatory obligations

Third-party links

This website includes links to third-party websites, plug-ins and applications. Upon clicking on those links, you will be redirected to a third-party website where our privacy policy no longer applies.

3. Data Protection Officer

Our Data Protection Officer oversees how we collect, use, share and protect information gathered to ensure all required rights are fulfilled. Our Data Protection Officer can be contacted at dpo@elucidate.co.



4. How we keep information safe

The information we have collected is stored in a GDPR compliant data processing facility in Frankfurt am Main, Germany. This facility holds the following certifications ISO 27001, ISO 27017, ISO 27018, SOC1, SOC2, SOC 3, FIPS 140-2, PCI, CSA STAR. All data stored in our databases is encrypted using 256-bit Advanced Encryption Standard (AES-256).

5. What data we collect and how we collect it

The information we collect varies in line with the use cases below:

- Data from website visitors to Elucidate.co regarding site usage, including IP addresses and browser versions used – this data is collected through website cookies
- Personal data from job candidates – this is provided directly from any job candidate
- Personal client data and information is provided directly from our clients through and in accordance to contractual agreements
- Publicly available information relevant to the Elucidate FinCrime Index (“EFI”)
- Business contacts - this includes contact information or any publicly available data regarding a position in a company

6. Why we collect data

- Data from website visitors is used for marketing purposes and to ensure a correct usage of our website
- Personal data from job candidates includes personal contact information and information in order to assess the applicant’s suitability for the role
- Personal client data and information is collected for the express purpose of producing the Elucidate FinCrime Index (“EFI”)
- Publicly available information is collected for the express purpose of producing the Elucidate FinCrime Index (“EFI”) and adverse media sentiment analysis



- Business contacts is collected to maintain business communications

7. How we handle data

Information which has been gathered is available to selected parties as detailed below:

- Data from website visitors - website analytics tools provided in our secure cloud infrastructure and is only available to our employee marketing team
- Personal data from job candidates - we store this information in an externally provided HR system, to which only selected Elucidate employees have access to determine candidate suitability
- Data provided to us for the express purpose of using the EFI platform - data is encrypted and only selected Elucidate employees have access, on an as-needed basis
- Business contacts - our externally provided CRM application is accessible only by Elucidate employees, specifically sales, marketing, and client success team.

8. International transfers of data

Our data processing centre and our backup data centre are located in Frankfurt am Main in Germany. We have configured the data centres to store data within the EU only.

In all cases, we strive to ensure that data remains within the EU/EEA and select our sub-processors with that in mind. In such exceptional cases where a sub-processor stores data outside the EU/EEA, the selected sub-processor is required to provide the suite of GDPR protections to such data.

The sub-processors list is available under the following link:

<https://elucidate.co/sub-processors>.

9. For how long do we retain information

- Data from website visitors - until the cookie expiration date
- Personal data from job candidates - for unsuccessful candidates, we will remove the information after 6 months. Successful candidates' information becomes subject to our



employee privacy policy

- Data provided to us for the express purpose of using the Elucidate FinCrime Index (“EFI”) platform - Information is retained subject to the conditions outlined in the contract in accordance with GDPR and EU-Regulations
- Business contacts - email marketing has unsubscribe functionalities. Information in our CRM is categorised by activity and inactive data is removed after a period of 5 years, or unless specifically requested by an individual (dpo@elucidate.co)

10. Cookies

Cookies are text files placed on your computer to collect standard Internet log information and visitor behavior information. When you visit our websites, we may collect information from you automatically through cookies or similar technology

For further information, visit allaboutcookies.org.

Types of cookies and how do we use them

Elucidate uses:

- Functionality - to recognize you on our website and remember your previously selected preferences. These could include what language you prefer and location you are in. A mix of first-party and third-party cookies are used.
- Advertising - to collect information about your visit to our website, the content you viewed, the links you followed and information about your browser, device, and your IP address.

How to manage cookies

You can set your browser not to accept cookies, and the above website tells you how to remove cookies from your browser. However, in a few cases, some of our website features may not function as a result.

11. Data protection rights

Elucidate would like to make sure you are fully aware of all of your data protection rights. In accordance with GDPR, we commit to handling your data in a transparent manner. Every user is entitled to the following:



- The right to access – You have the right to request copies of your personal data.
- The right to rectification – You have the right to request that Elucidate correct any information you believe is inaccurate. You also have the right to request to complete the information you believe is incomplete.
- The right to erasure – You have the right to request the erasure of your personal data, under certain conditions subject to EU-Regulations (eg. REGULATION (EU) 2016/1011).
- The right to restrict processing – You have the right to request that we restrict the processing of your personal data, under certain conditions.
- The right to object to processing – You have the right to object to our processing of your personal data, under certain conditions.
- The right to data portability – You have the right to request that we transfer the data that we have collected to another organization, or directly to you, under certain conditions.

You can always contact the DPO regarding GDPR issues, regardless of Elucidate's role, via email to dpo@elucidate.co.

If there is a concern regarding the proper handling of personal data, a complaint can be made to our data protection regulator, the [German Information Commissioner's Office](#) or in [Berlin](#).

12. Meeting our legal and regulatory obligations

We rely on contractual and legal obligations in order to handle all data and collect it lawfully. We ensure to protect the legitimate interest of all parties and to act according to the principle of transparency.

Elucidate is a regulated benchmark and therefore subjected not only to GDPR but to other EU-Regulations (eg. REGULATION (EU) 2016/1011). According to EU-Law we are obliged to retain all data exclusively related to the process of generating and providing the Elucidate FinCrime Index ("EFI") platform for 5 years before deleting it.

Data from website visitors, personal data from job candidates and business contacts do not fall into this category and are handled separately according to GDPR regulations.



13. Updates to this notice

We will make changes to this notice upon annual review or as required due to changes, particularly when we change how we use your information or the sub-processors we engage. We will always publish an up-to-date version of this notice on our website at:

<https://elucidate.co/privacy-policy>